

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE (REV. 12-2004)		ATTORNEY'S DOCKET NUMBER SGB-101.1 US (8806/94330)	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) Unknown 10/535017	
INTERNATIONAL APPLICATION NO. PCT/US03/34460	INTERNATIONAL FILING DATE 30 October 2003	PRIORITY DATE CLAIMED 14 November 2002	
TITLE OF INVENTION PURIFIED COMPOUNDS THAT INHIBIT INTRACELLULAR C4/PAXILLIN BINDING			
APPLICANT(S) FOR DO/EO/US Mark Ginsberg and Dale Boger			
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ul style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210). <p>Items 13 to 23 below concern document(s) or information included:</p> <ol style="list-style-type: none"> 13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 16. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 17. <input type="checkbox"/> A substitute specification. 18. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter. 19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13^{ter}.2 and 37 CFR 1.821 - 1.825. 20. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 21. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 22. <input checked="" type="checkbox"/> Express Mail Label No. EV554441954US 23. <input checked="" type="checkbox"/> Other items or information: Application Data Sheet, Preliminary Amendment and Abstract Page, Response to Communication in Cases for Which No Other Form is Applicable (correcting claims) and Verified Certification of Express Mailing (37CFR 1.10(c) No. EV554441954US 			

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO (if known, see 37 CFR 1.5) 104535017		INTERNATIONAL APPLICATION NO. PCT/US03/34460		ATTORNEY'S DOCKET NUMBER SGB-101.1 US (94330)											
24. The following fees are submitted: <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;"><input checked="" type="checkbox"/> a) Basic national fee</td> <td style="width: 40%; text-align: right;">\$300.00</td> </tr> <tr> <td><input checked="" type="checkbox"/> b) Examination fee</td> <td style="text-align: right;">\$200.00</td> </tr> <tr> <td><input checked="" type="checkbox"/> c) Search fee</td> <td style="text-align: right;">\$500.00</td> </tr> <tr> <td colspan="2" style="text-align: center;">TOTAL OF ABOVE CALCULATIONS =</td> </tr> <tr> <td colspan="2" style="text-align: right;">\$1000.00</td> </tr> </table> <p><input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.</p>						<input checked="" type="checkbox"/> a) Basic national fee	\$300.00	<input checked="" type="checkbox"/> b) Examination fee	\$200.00	<input checked="" type="checkbox"/> c) Search fee	\$500.00	TOTAL OF ABOVE CALCULATIONS =		\$1000.00	
<input checked="" type="checkbox"/> a) Basic national fee	\$300.00														
<input checked="" type="checkbox"/> b) Examination fee	\$200.00														
<input checked="" type="checkbox"/> c) Search fee	\$500.00														
TOTAL OF ABOVE CALCULATIONS =															
\$1000.00															
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)		RATE											
75 - 100 =	0 /50 =	0		x	\$ \$0.00										
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).															
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE												
Total claims	32 - 20 =	12	x	\$50.00	\$ \$600.00										
Independent claims	4 - 3 =	1	x	\$200.00	\$ \$200.00										
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + TOTAL OF ABOVE CALCULATIONS = \$ \$1,930.00															
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.															
SUBTOTAL = \$ \$965.00															
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).															
TOTAL NATIONAL FEE = \$ \$965.00															
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + \$ \$0.00															
TOTAL FEES ENCLOSED = \$ \$965.00															
Amount to be refunded: \$															
Amount to be charged: \$															
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>\$965.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. <u>23-0920</u> in the amount of _____ to cover the above fees. A duplicate copy of this sheet is _____. c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>23-0920</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.															
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.															
SEND ALL CORRESPONDENCE TO:															
Edward P. Gamson, Esq. WELSH & KATZ, LTD. 120 South Riverside Plaza 22nd Floor Chicago, Illinois 60606															
 SIGNATURE <u>Edward P. Gamson, Esq.</u> NAME <u>29,381</u> REGISTRATION NUMBER															

10/535017

JC14 Rec'd PCT/PTO 12 MAY 2005

Attorney's Docket No. 5830

RECEIVING OFFICE (RO/US)

DESIGNATED OFFICE (DO/US)

ELECTED OFFICE (EO/US)

PCT/US03/34460

30 October 2003

14 November 2002

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PURIFIED COMPOUNDS THAT INHIBIT INTRACELLULAR α 4/PAXILLIN BINDING
TITLE OF INVENTION

Mark Ginsberg and Dale Boger
APPLICANT(s)

Box PCT
U.S. Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

**VERIFIED CERTIFICATION OF EXPRESS MAILING DATE
(INTERNATIONAL APPLICATION (37 CFR 1.10(c))**

I declare that on May 12, 2005 I deposited with the United States Postal Service in an envelope as "Express Mail, Post Office to Addressee" bearing Label Number EV554441954US addressed to "Box PCT / U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202" and having an express mail certification which I executed, the following papers:

Transmittal Letter (form PTO-1390), International Application including spec claims and abstract and drawings, Decl. and Power of Attorney documents, Application Data Sheet, Search, Preliminary Amendment and Abstract Page, Response to Communication in Cases for Which No Other Form is Applicable (correcting claims) and Application Fee

A copy of these papers from the file of this application is attached.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

Shannon Wooten

(typed or printed name of person making this verified statement)

Date May 12, 2005



(Signature of person making this verified statement)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
UNITED STATES RECEIVING OFFICE (RO/US)

Applicant: The Scripps Research)
Institute et al.)
International)
Application No. PCT/US03/34460)
International)
Filing Date: 30 October 2003)
Title: PURIFIED COMPOUNDS THAT INHIBIT)
INTRACELLULAR α 4/PAXILLIN BINDING)

RESPONSE TO COMMUNICATION IN CASES FOR
WHICH NO OTHER FORM IS APPLICABLE

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This is in response to the Communication in
Cases For Which No Other Form Is Applicable dated 5
February 2004, indicating a discrepancy concerning the
claims in the international application.

Transmitted herewith is a red-marked copy of
page 62 of the claims where claim No. 13 is missing.
Also transmitted herewith are replacement claims (pages
58-68) correcting the claim numbers to include claim
No. 13 and renumbering them from 1-31.

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JC147 1d PCT/PTO 12 MAY 2005

International Application -2-
No. PCT/US03/34460

No fee is believed necessary. However,
please charge our Deposit Account No. 23-0920 should
any fee be needed.

The Examiner is requested to phone the
undersigned should any questions arise that can be
dealt with over the phone to expedite this prosecution.

Respectfully submitted,

By 
Edward P. Gamson, Reg. No. 29,381

Enclosures:

Red-marked copy of page 62 of claims
Replacement Claims (pages 58-68)

WELSH & KATZ, LTD.
120 South Riverside Plaza, 22nd Floor
Chicago, Illinois 60606
Phone (312) 655-1500
Fax No. (312) 655-1501

10/535017

101418 13 MAY 2005

International Application -3-
No. PCT/US03/34460

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that this Response, together with the stated enclosures, are being deposited with the United States Postal Service as Express Mail, Express Mail Mailing Label No. EV337100402US addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on February 24, 2004.

John Austin Rensert